

FORM PTO-1390 (REV 10-94)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		DOCKET #: 5367-154PUS
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				
				U.S. APPLICATION NO. (If known, see 37 CFR 1.5)
INTERNATIONAL APPLICATION NO. PCT/DE2003/002728		INTERNATIONAL FILING DATE 13 August 2003		10/526214 PRIORITY DATE CLAIMED 5 September 2002
TITLE OF INVENTION Semiconductor Optical Pumping Device for Radiation Emission and the Production Method thereof				
APPLICANT(S) FOR DO/EO/US Christian KARNUTSCH; Norbert LINDER; Johann LUFT; Stephan LUTGEN; Wolfgang SCHMID				
<p>Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> <ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371 3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). 4. <input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ul style="list-style-type: none"> a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US) 6. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ul style="list-style-type: none"> a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). <p>Items 11. to 16. Below concern other document(s) or information included:</p> <ol style="list-style-type: none"> 11. <input checked="" type="checkbox"/> Two Information Disclosure Statements under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A FIRST preliminary amendment. <ul style="list-style-type: none"> <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 14. <input type="checkbox"/> A substitute specification. 15. <input type="checkbox"/> A change of power of attorney and/or address letter. 16. <input checked="" type="checkbox"/> Other items or information (<i>specify</i>): PCT/IB/308, Cover page of Published PCT Application, Int'l Search Report, Request for Publication of Assignment Information 				

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) 10/526214		INTERNATIONAL APPLICATION NO. PCT/DE2003/002728	ATTORNEY'S DOCKET NUMBER 5367-154PUS
17. [x] The following fees are submitted:			
Basic National Fee (37 CFR 1.492(a)(1)-(5))		\$300	
National Stage Search Fee		\$500	
National Stage Examination Fee		\$200	
ENTER APPROPRIATE BASIC FEE AMOUNT =			\$ 1000
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).			\$
Claims	Number Filed	Number Extra	Rate
Total Claims	30 - 20 =	10	x \$50.00
Independent Claims	3 - 3 =		x \$200.00
Multiple dependent claim(s) (if applicable)		+ \$360.00	\$
Application Size Fee - for each add 50 sheets in excess of 100 sheets		+ \$250.00	\$
TOTAL OF ABOVE CALCULATIONS =			\$ 1500
Reduction of $\frac{1}{2}$ for filing by small entity, if applicable.			\$
SUBTOTAL =			\$ 1500
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).			\$
TOTAL NATIONAL FEE =			\$ 1500
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by the appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property			\$
TOTAL FEES ENCLOSED			\$1500
		Amount to be refunded:	\$
		charged:	\$
<p>a. [x] One in the amount of <u>\$1500.00</u> to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. <u>03-2412</u> in the amount of \$ <u> </u> to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. [x] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>03-2412</u>. A duplicate copy of this sheet is enclosed.</p>			
<p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p>			
SEND ALL CORRESPONDENCE TO: <u>Thomas Langer</u> Cohen, Pontani, Lieberman & Pavane 551 Fifth Avenue, Suite 1210 New York, New York 10176		 <u>Thomas Langer</u> Registration Number: 27,264 <u>March 4, 2005</u> Tel: (212) 687-2770	

10/526214

REC'D PCT/PTO 04 MAR 2005

By Express Mail #EV582747161US

Attorney Docket # 5367-154PUS

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re National Phase PCT Application of

Christian KARNUTSCH et al.

Int'l PCT Appln. No.: PCT/DE2003/002728

Int'l Filing Date: 13 August 2003

For: Semiconductor Optical Pumping
Device for Radiation Emission and
the Production Method thereof

Check box if applicable:

DUPLICATE

**GENERAL AUTHORIZATION FOR PAYMENT OF FEES
AND PETITIONS FOR EXTENSIONS OF TIME**
Submit an original and a duplicate for fee processing

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 03-2412

- Any filing fees required under 37 CFR §1.16.
- Any patent application processing fees under 37 CFR §1.17 not otherwise paid by check.
- The issue fee set in 37 CFR 1.18 at 3 months from mailing of the Notice of Allowance, pursuant to 37 CFR 1.311 (b) provided the fee has not already been paid by check.
- Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Respectfully submitted,
COHEN, PONTANI, LIEBERMAN & PAVANE

By

Thomas Langer

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Dated: March 4, 2005

Attorney Docket # 5367-154PUS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re National Phase PCT Application of

Christian KARNUTSCH et al.

International Application No.: PCT/DE2003/002728

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For: Semiconductor Optical Pumping Device for
Radiation Emission and the Production Method
thereof

REQUEST FOR PUBLICATION OF ASSIGNMENT INFORMATION

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450
S I R:

It is requested that the following assignment information be published as part of the
18 month publication of the present application:

**Osram Opto Semiconductors GmbH
Wernerwerkstrasse 2
93049 Regensburg, Germany**

Respectfully submitted,
COHEN, PONTANI, LIEBERMAN & PAVANE

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4 March 2005